



February 18, 2014

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## ENGROSSED SENATE BILL No. 208

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DIGEST OF SB 208 (Updated February 17, 2014 11:00 am - DI 84)

**Citations Affected:** IC 5-14; IC 32-34.

**Synopsis:** Unclaimed property. Allows the attorney general to withhold from disclosure certain personal information contained in a report or claim for unclaimed property. Requires unclaimed property held in a safe deposit box to be delivered to the attorney general not later than 30 days after the property is reported to the attorney general. (Current law prohibits the property from being delivered to the attorney general until 120 days after the property is reported.) Corrects an internal reference.

**Effective:** Upon passage; July 1, 2014.

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### Walker, Zakas

(HOUSE SPONSORS — HEATON, PRICE)

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January 9, 2014, read first time and referred to Committee on Civil Law.  
January 28, 2014, reported favorably — Do Pass.  
January 30, 2014, read second time, ordered engrossed.  
January 31, 2014, engrossed.  
February 3, 2014, read third time, passed. Yeas 48, nays 0.

#### HOUSE ACTION

February 10, 2014, read first time and referred to Committee on Judiciary.  
February 18, 2014, reported — Do Pass.

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ES 208—LS 6407/DI 87





February 18, 2014

Second Regular Session 118th General Assembly (2014)

PRINTING CODE. Amendments: Whenever an existing statute (or a section of the Indiana Constitution) is being amended, the text of the existing provision will appear in this style type, additions will appear in **this style type**, and deletions will appear in ~~this style type~~.

Additions: Whenever a new statutory provision is being enacted (or a new constitutional provision adopted), the text of the new provision will appear in **this style type**. Also, the word **NEW** will appear in that style type in the introductory clause of each SECTION that adds a new provision to the Indiana Code or the Indiana Constitution.

Conflict reconciliation: Text in a statute in *this style type* or ~~this style type~~ reconciles conflicts between statutes enacted by the 2013 Regular Session and 2013 First Regular Technical Session of the General Assembly.

## ENGROSSED SENATE BILL No. 208

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A BILL FOR AN ACT to amend the Indiana Code concerning state and local administration.

*Be it enacted by the General Assembly of the State of Indiana:*

- 1       SECTION 1. IC 5-14-3-4, AS AMENDED BY P.L.175-2013,  
2       SECTION 1, IS AMENDED TO READ AS FOLLOWS [EFFECTIVE  
3       UPON PASSAGE]: Sec. 4. (a) The following public records are  
4       excepted from section 3 of this chapter and may not be disclosed by a  
5       public agency, unless access to the records is specifically required by  
6       a state or federal statute or is ordered by a court under the rules of  
7       discovery:  
8               (1) Those declared confidential by state statute.  
9               (2) Those declared confidential by rule adopted by a public  
10              agency under specific authority to classify public records as  
11              confidential granted to the public agency by statute.  
12              (3) Those required to be kept confidential by federal law.  
13              (4) Records containing trade secrets.  
14              (5) Confidential financial information obtained, upon request,  
15              from a person. However, this does not include information that is  
16              filed with or received by a public agency pursuant to state statute.

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(6) Information concerning research, including actual research documents, conducted under the auspices of a state educational institution, including information:

(A) concerning any negotiations made with respect to the research; and

(B) received from another party involved in the research.

(7) Grade transcripts and license examination scores obtained as part of a licensure process.

(8) Those declared confidential by or under rules adopted by the supreme court of Indiana.

(9) Patient medical records and charts created by a provider, unless the patient gives written consent under IC 16-39 or as provided under IC 16-41-8.

(10) Application information declared confidential by the board of the Indiana economic development corporation under IC 5-28-16.

(11) A photograph, a video recording, or an audio recording of an autopsy, except as provided in IC 36-2-14-10.

(12) A Social Security number contained in the records of a public agency.

(13) The following information that is part of a foreclosure action subject to IC 32-30-10.5:

(A) Contact information for a debtor, as described in ~~IC 32-30-10.5-8(d)(2)(B)~~ **IC 32-30-10.5-8(d)(1)(B)**.

(B) Any document submitted to the court as part of the debtor's loss mitigation package under IC 32-30-10.5-10(a)(3).

(b) Except as otherwise provided by subsection (a), the following public records shall be excepted from section 3 of this chapter at the discretion of a public agency:

(1) Investigatory records of law enforcement agencies. However, certain law enforcement records must be made available for inspection and copying as provided in section 5 of this chapter.

(2) The work product of an attorney representing, pursuant to state employment or an appointment by a public agency:

(A) a public agency;

(B) the state; or

(C) an individual.

(3) Test questions, scoring keys, and other examination data used in administering a licensing examination, examination for employment, or academic examination before the examination is given or if it is to be given again.

(4) Scores of tests if the person is identified by name and has not



consented to the release of the person's scores.

(5) The following:

(A) Records relating to negotiations between the Indiana economic development corporation, the ports of Indiana, the Indiana state department of agriculture, the Indiana finance authority, an economic development commission, a local economic development organization (as defined in IC 5-28-11-2(3)), or a governing body of a political subdivision with industrial, research, or commercial prospects, if the records are created while negotiations are in progress.

(B) Notwithstanding clause (A), the terms of the final offer of public financial resources communicated by the Indiana economic development corporation, the ports of Indiana, the Indiana finance authority, an economic development commission, or a governing body of a political subdivision to an industrial, a research, or a commercial prospect shall be available for inspection and copying under section 3 of this chapter after negotiations with that prospect have terminated.

(C) When disclosing a final offer under clause (B), the Indiana economic development corporation shall certify that the information being disclosed accurately and completely represents the terms of the final offer.

(D) Notwithstanding clause (A), an incentive agreement with an incentive recipient shall be available for inspection and copying under section 3 of this chapter after the date the incentive recipient and the Indiana economic development corporation execute the incentive agreement regardless of whether negotiations are in progress with the recipient after that date regarding a modification or extension of the incentive agreement.

(6) Records that are intra-agency or interagency advisory or deliberative material, including material developed by a private contractor under a contract with a public agency, that are expressions of opinion or are of a speculative nature, and that are communicated for the purpose of decision making.

(7) Diaries, journals, or other personal notes serving as the functional equivalent of a diary or journal.

(8) Personnel files of public employees and files of applicants for public employment, except for:

(A) the name, compensation, job title, business address, business telephone number, job description, education and training background, previous work experience, or dates of



- 1 first and last employment of present or former officers or
- 2 employees of the agency;
- 3 (B) information relating to the status of any formal charges
- 4 against the employee; and
- 5 (C) the factual basis for a disciplinary action in which final
- 6 action has been taken and that resulted in the employee being
- 7 suspended, demoted, or discharged.
- 8 However, all personnel file information shall be made available
- 9 to the affected employee or the employee's representative. This
- 10 subdivision does not apply to disclosure of personnel information
- 11 generally on all employees or for groups of employees without the
- 12 request being particularized by employee name.
- 13 (9) Minutes or records of hospital medical staff meetings.
- 14 (10) Administrative or technical information that would
- 15 jeopardize a record keeping or security system.
- 16 (11) Computer programs, computer codes, computer filing
- 17 systems, and other software that are owned by the public agency
- 18 or entrusted to it and portions of electronic maps entrusted to a
- 19 public agency by a utility.
- 20 (12) Records specifically prepared for discussion or developed
- 21 during discussion in an executive session under IC 5-14-1.5-6.1.
- 22 However, this subdivision does not apply to that information
- 23 required to be available for inspection and copying under
- 24 subdivision (8).
- 25 (13) The work product of the legislative services agency under
- 26 personnel rules approved by the legislative council.
- 27 (14) The work product of individual members and the partisan
- 28 staffs of the general assembly.
- 29 (15) The identity of a donor of a gift made to a public agency if:
- 30 (A) the donor requires nondisclosure of the donor's identity as
- 31 a condition of making the gift; or
- 32 (B) after the gift is made, the donor or a member of the donor's
- 33 family requests nondisclosure.
- 34 (16) Library or archival records:
- 35 (A) which can be used to identify any library patron; or
- 36 (B) deposited with or acquired by a library upon a condition
- 37 that the records be disclosed only:
- 38 (i) to qualified researchers;
- 39 (ii) after the passing of a period of years that is specified in
- 40 the documents under which the deposit or acquisition is
- 41 made; or
- 42 (iii) after the death of persons specified at the time of the



- 1 acquisition or deposit.
- 2 However, nothing in this subdivision shall limit or affect contracts
- 3 entered into by the Indiana state library pursuant to IC 4-1-6-8.
- 4 (17) The identity of any person who contacts the bureau of motor
- 5 vehicles concerning the ability of a driver to operate a motor
- 6 vehicle safely and the medical records and evaluations made by
- 7 the bureau of motor vehicles staff or members of the driver
- 8 licensing medical advisory board regarding the ability of a driver
- 9 to operate a motor vehicle safely. However, upon written request
- 10 to the commissioner of the bureau of motor vehicles, the driver
- 11 must be given copies of the driver's medical records and
- 12 evaluations.
- 13 (18) School safety and security measures, plans, and systems,
- 14 including emergency preparedness plans developed under 511
- 15 IAC 6.1-2-2.5.
- 16 (19) A record or a part of a record, the public disclosure of which
- 17 would have a reasonable likelihood of threatening public safety
- 18 by exposing a vulnerability to terrorist attack. A record described
- 19 under this subdivision includes:
- 20 (A) a record assembled, prepared, or maintained to prevent,
- 21 mitigate, or respond to an act of terrorism under IC 35-47-12-1
- 22 or an act of agricultural terrorism under IC 35-47-12-2;
- 23 (B) vulnerability assessments;
- 24 (C) risk planning documents;
- 25 (D) needs assessments;
- 26 (E) threat assessments;
- 27 (F) intelligence assessments;
- 28 (G) domestic preparedness strategies;
- 29 (H) the location of community drinking water wells and
- 30 surface water intakes;
- 31 (I) the emergency contact information of emergency
- 32 responders and volunteers;
- 33 (J) infrastructure records that disclose the configuration of
- 34 critical systems such as communication, electrical, ventilation,
- 35 water, and wastewater systems;
- 36 (K) detailed drawings or specifications of structural elements,
- 37 floor plans, and operating, utility, or security systems, whether
- 38 in paper or electronic form, of any building or facility located
- 39 on an airport (as defined in IC 8-21-1-1) that is owned,
- 40 occupied, leased, or maintained by a public agency. A record
- 41 described in this clause may not be released for public
- 42 inspection by any public agency without the prior approval of



the public agency that owns, occupies, leases, or maintains the airport. The public agency that owns, occupies, leases, or maintains the airport:

(i) is responsible for determining whether the public disclosure of a record or a part of a record has a reasonable likelihood of threatening public safety by exposing a vulnerability to terrorist attack; and

(ii) must identify a record described under item (i) and clearly mark the record as "confidential and not subject to public disclosure under IC 5-14-3-4(b)(19)(J) without approval of (insert name of submitting public agency)"; and (L) the home address, home telephone number, and emergency contact information for any:

(i) emergency management worker (as defined in IC 10-14-3-3);

(ii) public safety officer (as defined in IC 35-47-4.5-3);

(iii) emergency medical responder (as defined in IC 35-42-2-6); or

(iv) advanced emergency medical technician (as defined in IC 16-18-2-6.5).

This subdivision does not apply to a record or portion of a record pertaining to a location or structure owned or protected by a public agency in the event that an act of terrorism under IC 35-47-12-1 or an act of agricultural terrorism under IC 35-47-12-2 has occurred at that location or structure, unless release of the record or portion of the record would have a reasonable likelihood of threatening public safety by exposing a vulnerability of other locations or structures to terrorist attack.

(20) The following personal information concerning a customer of a municipally owned utility (as defined in IC 8-1-2-1):

(A) Telephone number.

(B) Address.

(C) Social Security number.

(21) The following personal information about a complainant contained in records of a law enforcement agency:

(A) Telephone number.

(B) The complainant's address. However, if the complainant's address is the location of the suspected crime, infraction, accident, or complaint reported, the address shall be made available for public inspection and copying.

(22) Notwithstanding subdivision (8)(A), the name, compensation, job title, business address, business telephone





number, job description, education and training background, previous work experience, or dates of first employment of a law enforcement officer who is operating in an undercover capacity.

(23) Records requested by an offender that:

(A) contain personal information relating to:

- (i) a correctional officer (as defined in IC 5-10-10-1.5);
- (ii) a law enforcement officer (as defined in IC 35-31.5-2-185);
- (iii) a judge (as defined in IC 33-38-12-3);
- (iv) the victim of a crime; or
- (v) a family member of a correctional officer, law enforcement officer (as defined in IC 35-31.5-2-185), judge (as defined in IC 33-38-12-3), or victim of a crime; or

(B) concern or could affect the security of a jail or correctional facility.

(24) Information concerning an individual less than eighteen (18) years of age who participates in a conference, meeting, program, or activity conducted or supervised by a state educational institution, including the following information regarding the individual or the individual's parent or guardian:

- (A) Name.
- (B) Address.
- (C) Telephone number.
- (D) Electronic mail account address.

(25) Criminal intelligence information.

**(26) The following information contained in a report of unclaimed property under IC 32-34-1-26 or in a claim for unclaimed property under IC 32-34-1-36:**

- (A) date of birth;**
- (B) driver's license number;**
- (C) taxpayer identification number;**
- (D) employer identification number; or**
- (E) account number.**

(c) Nothing contained in subsection (b) shall limit or affect the right of a person to inspect and copy a public record required or directed to be made by any statute or by any rule of a public agency.

(d) Notwithstanding any other law, a public record that is classified as confidential, other than a record concerning an adoption or patient medical records, shall be made available for inspection and copying seventy-five (75) years after the creation of that record.

(e) Only the content of a public record may form the basis for the adoption by any public agency of a rule or procedure creating an



exception from disclosure under this section.

(f) Except as provided by law, a public agency may not adopt a rule or procedure that creates an exception from disclosure under this section based upon whether a public record is stored or accessed using paper, electronic media, magnetic media, optical media, or other information storage technology.

(g) Except as provided by law, a public agency may not adopt a rule or procedure nor impose any costs or liabilities that impede or restrict the reproduction or dissemination of any public record.

(h) Notwithstanding subsection (d) and section 7 of this chapter:

(1) public records subject to IC 5-15 may be destroyed only in accordance with record retention schedules under IC 5-15; or

(2) public records not subject to IC 5-15 may be destroyed in the ordinary course of business.

SECTION 2. IC 32-34-1-27, AS AMENDED BY P.L.46-2013, SECTION 4, IS AMENDED TO READ AS FOLLOWS [EFFECTIVE JULY 1, 2014]: Sec. 27. (a) Except as provided in subsections (b) and (c), on the date a report is filed under section 26 of this chapter, the holder shall pay or deliver to the attorney general the property that is described in the report as unclaimed.

(b) In the case of an automatically renewable deposit, if at the time of delivery under subsection (a) a penalty or forfeiture in the payment of interest would result from the delivery of the property, the time for delivery is extended until the earliest date upon which a penalty or forfeiture would not result.

(c) Tangible property held in a safe deposit box or other safekeeping depository ~~may not~~ **shall** be delivered to the attorney general ~~until one hundred twenty (120) days~~ **not later than thirty (30) days** after the date the report describing the property under section 26 of this chapter is filed.

(d) If the property reported to the attorney general is a security or security entitlement under IC 26-1-8.1, the attorney general may make an endorsement, instruction, or entitlement order on behalf of the apparent owner to invoke the duty of the issuer or its transfer agent or the securities intermediary to transfer or dispose of the security or the security entitlement in accordance with IC 26-1-8.1.

(e) If the holder of property reported to the attorney general is the issuer of a certificated security, the attorney general has the right to obtain a replacement certificate under IC 26-1-8.1-405, and an indemnity bond is not required.

(f) An issuer, the holder, and any transfer agent or other person acting under the instructions of and on behalf of the issuer in accordance with this section are not liable to the apparent owner and



1 must be indemnified against the claims of any person in accordance  
2 with section 29 of this chapter.

3 (g) Payment or delivery of property shall be made in the manner  
4 specified by the attorney general.

5 **SECTION 3. An emergency is declared for this act.**



COMMITTEE REPORT

Madam President: The Senate Committee on Civil Law, to which was referred Senate Bill No. 208, has had the same under consideration and begs leave to report the same back to the Senate with the recommendation that said bill DO PASS.

(Reference is to SB 208 as introduced.)

Committee Vote: Yeas 8, Nays 0

Senator Zakas, Chairperson

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COMMITTEE REPORT

Mr. Speaker: Your Committee on Judiciary, to which was referred Senate Bill 208, has had the same under consideration and begs leave to report the same back to the House with the recommendation that said bill do pass.

(Reference is to SB 208 as printed January 29, 2014.)

Committee Vote: Yeas 11, Nays 0

Representative Steuerwald

